PATENT 2907-101P

TRADEMARK OFFICE IN THE U.S. PATEN

Applicant:

NIEBOER et

Appl. No.:

09/359,686

Filed:

July 23, 1999

Group:

Examiner:

2165

THOMPSON JR, F.

For:

AUTOMATED SYSTEM FOR CONDITIONAL ORDER TRANSACTIONS IN SECURITIES OR

OTHER ITEMS IN COMMERCE

Technology Center 2100

AMENDMENT

Assistant Commissioner for Patents Washington, DC 20231

January 24, 2001

Sir:

Responsive to the Office Action dated October 24, 2000, to which a response is due January 24, 2001, the following amendments and remarks are respectfully submitted in connection with the above-identified application.

IN THE CLAIMS

Cancel claim 19 without prejudice or disclaimer of the subject matter therein.

Amend the claims as follows:

1. (amended) A conditional order transaction network that matches or compares buy and sell orders for a plarality of security instruments based upon conditions set forth within the order, including [the] price represented as an algorithm with constraints thereon, the transaction network comprising:

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